

Inventors: Biedermann et al.

CYCLIC IMIDE-SUBSTITUTED PYRIDYLALKANE, ALKENE
AND ALKINE CARBOXAMIDES USEFUL AS CYTOSTATIC
AND IMMUNOSUPPRESSIVE AGENTS

U.S. Serial No. 09/595,218

File No. 69053/6031

Attys: TEL/KHS/JPK:tt

October 31, 2000

1. Part 2-Copy of Notice to Filing Missing Parts; 2. Response to Notice to File Missing Parts w/check \$1,506.00 (tripl.); 3. Copy of Executed Declaration; 4. Recordation Sheet w/\$40; 6. Executed Assignment.

Hon. Commissioner of Patents and Trademarks

Sir:

Please acknowledge receipt of the above-identified documents by applying the Patent and Trademark Office receipt stamp hereto and mailing this card.



Respectfully,

FITCH, EVEN, TABIN & FLANNERY

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
WWW.USPTO.GOV

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/595.218	06/16/2000	Elfi Biedermann	69053

22242
FITCH EVEN TABIN AND FLANNERY
120 SOUTH LA SALLE STREET
SUITE 1600
CHICAGO, IL 606033406

FORMALITIES LETTER



OC000000005370965

Date Mailed: 09/01/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$656.
 - \$396 for 22 total claims over 20.
 - \$260 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1476.

Attorney Docket No. 69053

Date: October 31, 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Biedermann et al.

Appln No.: 09/595,218

Filed: June 16, 2000

For: Cyclic Imide-Substituted
Pyridylalkane, Alkene, and
Alkine Carboxamides Useful
as Cytostatic and
Immunosuppressive Agents

CERTIFICATE OF MAILING

I hereby certify that this paper is
being deposited with the United States
Postal Service as first class mail in
an envelope addressed to: Commissioner
of Patents and Trademarks, Washington,
D.C. 20231, on this date.

10/31/00
Date

35,234
Registration No.
Attorney for Applicant(s)

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box MISSING PARTS

Commissioner of Patents and Trademarks

ATTENTION: Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated 9/1/00,
enclosed are:

- (X) A copy of the Notice to File Missing Parts.
- (X) An executed Declaration for Patent Application, including Power of Attorney.
- (x) Assignment(s) of the invention to Klinge Pharma GmbH,
and Recordation Form Cover Sheet - Patents Only - Form PTO-1595.
- (X) A check in the amount of \$ 40.00 to cover the fee for
recording the assignment(s).

(X) Fee Calculation:

Fee Calculation For Claims As Filed

() Provisional Application Basic Fee \$ 150.00 \$ _____
 • Surcharge under 37 C.F.R. §1.16(l) \$ 50.00 \$ _____

or

(x) Non-Provisional Utility Application \$710.00 \$ 710.00
 Basic Fee

• Independent 1 - 3 = 0 x \$ 80.00 = \$ 0
 Claims
 • Total Claims 42 - 20 = 22 x \$ 18.00 = \$ 396.00
 • Fee for Multiply Dependent Claims x \$270.00 = \$ 270.00
 • Surcharge under 37 C.F.R. §1.16(e) x \$130.00 = \$ 130.00

or

() Design Application Basic Fee \$320.00 \$ _____

• Surcharge under 37 C.F.R. §1.16(e) \$130.00 \$ _____

Total Filing and/or Surcharge Fee \$ 1506.00

() Small Entity Fee (reduced by half) \$ _____

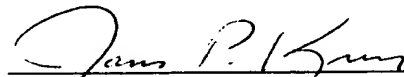
(x) A check in the amount of \$ 1506.00 to cover the Filing and/or Surcharge Fee is enclosed.

() Charge \$ _____ to Deposit Account No. 06-1135.

(X) The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 06-1135. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1135. This sheet is filed in triplicate.

October 31, 2000

(Date)



James P. Krueger

Registration No. 35,234

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 Chicago, Illinois 60603-3406
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SEP 3 2000



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UNITED STATES PATENT AND TRADEMARK OFFICE
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/595.218	06/16/2000	Elfi Biedermann	69053

22242
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120 SOUTH LA SALLE STREET
SUITE 1600
CHICAGO, IL 606033406

FORMALITIES LETTER



OC000000005370965

*MM
Yes 11/1/00*

Date Mailed: 09/01/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

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- The oath or declaration is unsigned.
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- The balance due by applicant is \$ 1476.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/595,218	06/16/2000	1614	0	69053		31	2

22242
FITCH EVEN TABIN AND FLANNERY
120 SOUTH LA SALLE STREET
SUITE 1600
CHICAGO, IL 606033406

FILING RECEIPT



OC000000005370964

Date Mailed: 09/01/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Elfi Biedermann, Vaterstetten, GERMANY;
Max Hasmann, Neuried, GERMANY;
Roland Loser, Feldafing, GERMANY;
Benno Rattel, Munich, GERMANY;
Friedemann Reiter, Putzbrunn, GERMANY;
Barbara Schein, Neufahrn, GERMANY;
Klaus Seibel, Grafelfing, GERMANY;
Klaus Vogt, Munich, GERMANY;
Katia Wosikowski, Poing, GERMANY;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF PCT/EP98/08267 12/16/1998

Foreign Applications

GERMANY DE/19756212.4 12/17/1997

If Required, Foreign Filing License Granted 08/31/2000

Title

Cyclic imide-substituted pyridylalkane, alkene, alkine carboxamides useful as cytostatic and immunosuppressive agents

Preliminary Class

514

Data entry by : ZIMMERMAN, SHAUNA

Team : OIPE

Date: 09/01/2000



LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

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PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231